

GREEN PIER FINTECH LLC

Important Information Regarding Your Account

1. **Order Flow Disclosure**
2. **Order Routing Disclosure**
3. **FINRA Rule 2267 Disclosure**
4. **Privacy Notice**
5. **Investing on Margin**

1. **Order Flow Disclosure**

Green Pier may receive remuneration, compensation, or consideration for directing orders in equity securities to particular broker-dealers or market centers for execution. The source and nature of any compensation received in connection with your particular transaction will be disclosed upon written request to Green Pier.

2. **Order Routing Disclosure**

You may request your specific order routing and execution information in writing for the preceding six (6) months from the date of request. Under SEC Rule 606(b)(1), customers can request details on stock and option orders in NMS securities, including the identity of the venue, whether the orders were directed or non-directed and the time of execution. Under SEC Rule 606(b)(3), broker-dealers are required, upon request of a customer who places not-held orders, to provide specific disclosures regarding routing and execution of such orders.

3. **FINRA Rule 2267 Disclosure**

Financial Industry Regulatory Authority ("FINRA") Rule 2267 requires that Green Pier furnishes the following information to customers:

- FINRA BrokerCheck Hotline Number: (800) 289-9999
- FINRA Web site address: <https://www.finra.org/>
- FINRA provides an investor brochure that includes information describing the Broker Check site which can be found at the website listed above.
- Information on Green Pier Fintech LLC may be found on BrokerCheck or <https://brokercheck.finra.org/firm/summary/313305>

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION			DOES GREEN PIER SHARE?	CAN YOU LIMIT THIS SHARING?
<p>For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus</p>			Yes	No
<p>For our marketing purposes — to offer our products and services to you</p>			No	We don't share
<p>For joint marketing with other financial companies</p>			No	We don't share
<p>For our affiliates' everyday business purposes — information about your transactions and experiences</p>			No	We don't share
<p>For our affiliates' everyday business purposes — information about your creditworthiness</p>			No	We don't share
<p>For nonaffiliates to market to you</p>			No	We don't share
QUESTIONS?			<p>Your broker-dealer has an agreement with Green Pier Fintech LLC to provide clearing and other related services for your account. Please contact your broker-dealer if you require additional information.</p>	

WHO WE ARE	
Who is providing this notice?	Green Pier Fintech LLC and Fidelity Management Trust Company
WHAT WE DO	
How does Green Pier protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Green Pier collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ■ open an account or direct us to buy/sell your securities ■ provide account information or give us your contact information ■ make a wire transfer <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes— information about your creditworthiness ■ affiliates from using certain information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
DEFINITIONS	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ Our affiliates include companies with the Fidelity name (excluding the Fidelity Funds).
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ Green Pier does not share with nonaffiliates so they can market to you.
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ Green Pier doesn't jointly market.
OTHER IMPORTANT INFORMATION	
<p>Green Pier Fintech LLC, in connection with providing clearing and other related services for your account, is required to provide notice of its practices pertaining to the privacy of customer information.</p> <p>The Fidelity Funds, Fidelity Management Trust Company, Fidelity Institutional Wealth Adviser LLC, and Fidelity Diversifying Solutions LLC have similar obligations to customers who buy Fidelity Funds through their broker-dealer, have a retirement account through their broker-dealer, or whose broker-dealer offers managed account services through a Fidelity platform.</p> <p>The Fidelity Funds have entered into a number of arrangements with Fidelity Investments companies, including Green Pier Fintech LLC to provide for investment management, distribution, and servicing of the Fidelity Funds. The Fidelity Funds do not share personal information about you with other entities for any reason, except for everyday business purposes in order to service your account.</p>	

INVESTING ON MARGIN

If you choose to borrow funds from your firm, you will open a margin account with Green Pier. The securities in your margin account are Green Pier's collateral for the loan to you. The actual amount you can borrow and Green Pier's margin maintenance requirements may vary depending on Green Pier's internal margin policies, which may exceed the margin requirements of FINRA. Green Pier's margin policies are subject to review and revision at any time in Green Pier's sole discretion. Green Pier reserves the right to alter the terms on your margin loan at any time to comply with changes in Green Pier's policies. If the securities in your account decline in value, so does the value of the collateral supporting your loan and, as a result, Green Pier and your broker-dealer can take action, such as issue a margin call and/or sell securities or other assets in any of your accounts held with Green Pier through your broker-dealer, in order to maintain the required equity in the account. Green Pier may also take action to sell securities or other assets in your accounts held with Green Pier.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following:

- **You can lose more funds than you deposit in the margin account.**
- **Green Pier and your broker-dealer can force the sale of securities or other assets in your account(s).**
- **Green Pier and your broker-dealer can sell your securities or other assets without contacting you.**
- **You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call.**
- **Green Pier can increase its "house" maintenance margin requirements at any time and is not required to provide you with advance notice.**
- **You are not entitled to an extension of time on a margin call.**
- **Green Pier can loan securities held in your margin account, which collateralize your margin borrowing.**
- **Short selling is a margin account transaction and entails the same risks as described above.**

Margin credit is extended by Green Pier Fintech LLC, Member FINRA, SIPC. Contact your broker-dealer regarding any questions or concerns you may have with your margin account.

Any and all assets may be pledged, repledged, hypothecated, or rehypothecated, separately or in common with other securities or any other property as may be permitted by Rule 15c3-3 of the Securities Exchange Act of 1934 and any other applicable law and without retaining in Green Pier's possession or control for delivery, a like amount of similar assets. The value of the assets that Green Pier may pledge, repledge, hypothecate, or rehypothecate may be greater than the amount owed by you to Green Pier. In the event that Green Pier pledges, repledges, hypothecates, or rehypothecates any assets, Green Pier may receive and retain certain benefits to which you will not be entitled. Any dividend, interest payment, or other distribution paid in respect of an asset pledged, repledged, borrowed, hypothecated, or rehypothecated by Green Pier may be reclassified as a "substitute payment" and credited to your account. The tax

consequences of receiving a substitute payment are different from the consequences realized from the receipt of a payment made by the underlying security. You will not be able to exercise voting rights in respect of assets pledged, repledged, borrowed, hypothecated, or rehypothecated by Green Pier.